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PATENT

Docket No.: UC00-350-2US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JOSE JOAQUIN GARCIA-LUNA-ACEVES; JYOTI RAJU  
Serial No.: 09/883,082  
Filed: JUNE 15, 2001  
For: BANDWIDTH EFFICIENT SOURCE TRACING (BEST) PROTOCOL FOR WIRELESS NETWORKS  
Group:  
Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

10/12/01  
[Signature]  
John P. O'Banion, Reg. No. 33,201  
10/12/01  
Date of Signature

**BOX MISSING PARTS**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**SUBMISSION OF MISSING PARTS OF APPLICATION**  
**UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed August 13, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. First Preliminary Amendment;
2. Combined Declaration and Power of Attorney;
3. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
4. Assignee Certification Under 37 C.F.R. 3.73(b);

5. Check in the amount of \$645 (\$580 filing fees and \$65 surcharge for the fees due as a small entity);
6. Assignment Cover Letter;
7. Assignment;
8. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed		Number Extra		Rate		Basic Fee \$ 740.00
Total							
Claims 37 CFR 1.16(c)	20 - 20	=	0	X	\$18.00	=	
Independent							
Claims (37 CFR 1.16(b))	8 - 3	=	5	X	\$84.00	=	420.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))				+	\$270.00	=	

Filing Fee Calculation \$ 1,160.00

Surcharge \$ 130.00

**TOTAL FILING FEE** \$ **1,290.00**

**Small Entity Statement(s)**

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27

**Filing Fee Calculation (50% of above)** \$ **645.00**

**Amount already paid** LESS ( \$            )

**TOTAL FILING FEES DUE** \$ **645.00**

X Assignment recording fee \$ **40.00**

       Petition fee \$ **0**

**TOTAL FEES DUE** \$ **685.00**

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

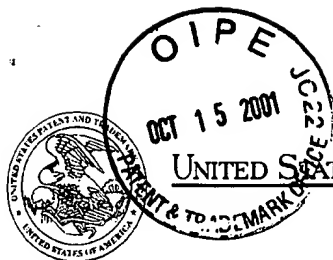
Dated: 10/12/01.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'John P. O'Banion', written over a horizontal line.

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,082	06/15/2001	J. J. Garcia-Luna-Aceves	UC00-350-2US

CONFIRMATION NO. 8652

## FORMALITIES LETTER



\*OC000000006418159\*

Jonh P. O'Banion  
O'BANION & RITCHEY LLP  
Suite 1550  
400 Capitol Mall  
Sacramento, CA 95814

Date Mailed: 08/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/17/2001 EAPUBAK1 00000011 09883082

01 FC:201	370.00 OP
02 FC:202	210.00 OP
03 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$200.
  - \$200 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 620.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): JOSE JOAQUIN GARCIA-LUNA-ACEVES; JYOTI RAJU

Docket No.

UC00-350-2US

Serial No.

09/883,082

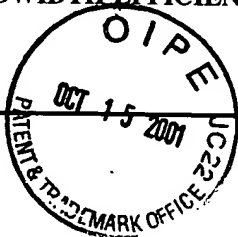
Filing Date

JUNE 15, 2001

Examiner

Group Art Unit

Invention: BANDWIDTH EFFICIENT SOURCE TRACING (BEST) PROTOCOL FOR WIRELESS NETWORKS

I hereby certify that this Notice to File Missing Parts of NonProvisional Application (Page 1 & 2)

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

10/15/01  
(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)**Note: Each paper must have its own certificate of mailing.**

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Docket No.

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Serial No.

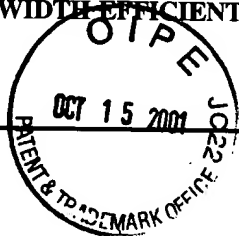
09/883,082

Filing Date

JUNE 15, 2001

Examiner

Group Art Unit

Invention: **BANDWIDTH EFFICIENT SOURCE TRACING (BEST) PROTOCOL FOR WIRELESS NETWORKS**

I hereby certify that this **COMBINED DECLARATION AND POWER OF ATTORNEY (Page 1 thru 5)**  
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(Date)

**JOHN P. O'BANION**

(Typed or Printed Name of Person Mailing Correspondence)

[Signature]  
(Signature of Person Mailing Correspondence)

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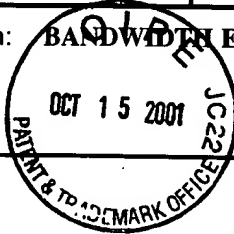
Filing Date

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Examiner

Group Art Unit

Invention: BANDWIDTH EFFICIENT SOURCE TRACING (BEST) PROTOCOL FOR WIRELESS NETWORKS

I hereby certify that this Power of attorney by assignee (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

9/26/01  
(Date)**JOHN P. O'BANION**

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

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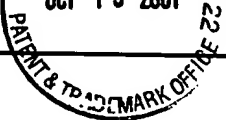
JUNE 15, 2001

Examiner

Group Art Unit

Invention: BANDWIDTH EFFICIENT SOURCE TRACING (BEST) PROTOCOL FOR WIRELESS NETWORKS

OCT 15 2001

I hereby certify that this Assignee Certification (Page 1)

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is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

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9/26/01  
(Date)JOHN P. O'BANION

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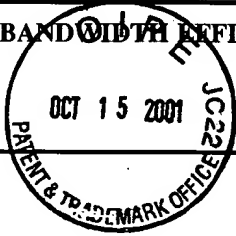
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Group Art Unit

Invention: BANDWIDTH EFFICIENT SOURCE TRACING (BEST) PROTOCOL FOR WIRELESS NETWORKS

I hereby certify that this First Preliminary Amendment (Page 1 thru 4)

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10/15/01  
(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

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